

# **PART 3403 - IMPROPER BUSINESS PRACTICES AND PERSONAL CONFLICTS OF INTEREST**

## Subpart 3403.1 - Safeguards

3403.101 Standards of conduct.

3403.101-3 Agency regulations.

## Subpart 3403.2 - Contractor Gratuities to Government Personnel

3403.203 Reporting suspected violations of the Gratuities clause.

## Subpart 3403.3 - Reports of Suspected Antitrust Violations

3403.301 General.

## Subpart 3403.4 - Contingent Fees

3403.409 Misrepresentation or violations of the covenant against contingent fees.

## Subpart 3403.6 - Contracts with Government Employees or Organizations Owned or Controlled by Them

3403.602 Exceptions.

## **Subpart 3403.1 - Safeguards**

**3403.101 Standards of conduct.**

**3403.101-3 Agency regulations.**

The Department's regulations on standards of conduct and conflicts of interest are in 34 CFR part 73, Standards of Conduct.

## **Subpart 3403.2 - Contractor Gratuities to Government Personnel**

**3403.203 Reporting suspected violations of the Gratuities clause.**

(a) Suspected violations of the Gratuities clause at FAR 52.203-3 must be reported to the HCA in

writing detailing the circumstances.

(b) The HCA evaluates the report with the assistance of the Designated Agency Ethics Officer. If the HCA determines that a violation may have occurred, the HCA refers the report to the SPE for disposition.

## **Subpart 3403.3 - Reports of Suspected Antitrust Violations**

### **3403.301 General.**

Any Departmental personnel who have evidence of a suspected antitrust violation in an acquisition must -

(1) Report that evidence through the HCA to the Office of the General Counsel for referral to the Attorney General; and

(2) Provide a copy of that evidence to the SPE.

## **Subpart 3403.4 - Contingent Fees**

### **3403.409 Misrepresentation or violations of the covenant against contingent fees.**

Any Departmental personnel who suspect or have evidence of attempted or actual exercise of improper influence, misrepresentation of a contingent fee arrangement, or other violation of the Covenant Against Contingent Fees, must report the matter promptly in accordance with the procedures in [3403.203](#).

## **Subpart 3403.6 - Contracts with Government Employees or Organizations Owned or Controlled by Them**

### **3403.602 Exceptions.**

Exceptions under FAR 3.601 must be approved by the HCA.